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RCE#

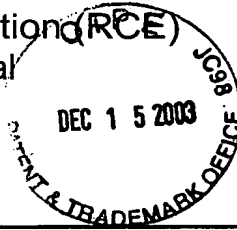
PTO/SB/30 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# Request For Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Application Number	09/829,969 RCE
Filing Date	April 11, 2001
First Named Inventor	OHNISHI, KAZUHIRO
Art Unit	2818
Examiner Name	M. TRAN
Attorney Docket Number	500.40010X00

**This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- ii ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- iii ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i ☒ Amendment/Reply
- ii ☐ Affidavit(s)/Declaration(s)
- iii ☐ Information Disclosure Statement (IDS)
- iv ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 C.F.R. § 1.17 (e) is required by 37 C.F.R. § 1.114 when RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees for any insufficiency directed thereto, or credit any overpayments, to Deposit Account No. **01-2135**
- i ☒ RCE fee required under 37 C.F.R. § 1.17 (e)
- ii ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Larry N. Anagnos	Registration No. (Attorney/Agent)	32,392
Signature	<i>Larry N. Anagnos</i>	Date	December 15, 2003

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): K. OHNISHI et al  
Serial No.: 09/829,969  
Filed: April 11, 2001  
For: STACKED GATE ELECTRODE FOR A MOS TRANSISTOR  
OF A SEMICONDUCTOR DEVICE (As Amended)  
Group: 2818  
Examiner: M. TRAN  
CONF. No. 8254

**SUBMISSION PURSUANT TO 37 CFR §1.114**

**MS: RCE**

Commissioner For Patents  
POB 1450  
Alexandria, VA 22313-1450

December 15, 2003

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Sir:

This Submission is in the form of an Amendment which is being filed in connection with a RCE (Request for Continued Examination) responsive to the outstanding Final Office Action of September 15, 2003. (An RCE transmittal along with an authorized Credit Card Payment Form covering the fee amount are enclosed.)

Please amend the above-identified application as listed below and as set forth on the following pages:

**Amendments to the Substitute Specification:**

**Amendments to the Claims:**

**Remarks** are included following the amendments.